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 DATED SEPTEMBER 7, 2006
 ARC920030068US1

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(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/698,897	10/31/2003	Windsor Wee Sun Hsu	ARC920030068US1	2972

TITLE OF INVENTION: SYSTEM AND METHOD FOR PROVIDING A COST-ADAPTIVE CACHE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/07/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
MOORE, PATRICK M	2138	711-128000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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1 Mark C. McCabe2 Edmund H. Mizumoto

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

International Business Machines Corporation

Armonk, New York

01 FL:1501 1400.00 DA
02 FC:1501 300.00 DAPlease check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

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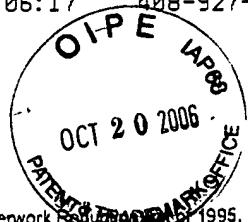
 a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature Elmo H. MizumotoTyped or printed name Edmund H. MizumotoDate 10/12/06Registration No. 46,938

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/698,897
		Filing Date	10/31/2003
		First Named Inventor	Windsor Wee Sun Hsu et al.
		Group Art Unit	2188
		Examiner Name	Patrick M. Moore
Total Number of Pages In This Submission	3	Attorney Docket Number	ARC20030068US1

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Edmund H. Mizumoto # 46,938
Signature	
Date	10/12/06

CERTIFICATE OF MAILING

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